



How to Hire a Chief Compliance Officer

## SIX KEY RESPONSIBILITIES OF THE CHIEF COMPLIANCE OFFICER

- **1.** Developing Compliance Programs and Controls
- 2. Developing the Annual Compliance Work Plan
- **3.** Monitoring Regulatory Changes
- **4.** Conducting Training
- **5.** Reporting & Accountability
- **6.** Interacting with Regulators





For more insights on key CCO responsibilities, read the full article: How to Hire a Chief Compliance Officer

